

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

113236-005

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

10/018440

INTERNATIONAL APPLICATION NO.
PCT/JP01/02992INTERNATIONAL FILING DATE
6 April 2001PRIORITY DATE CLAIMED
6 April 2000

TITLE OF INVENTION

DATA PROCESSING METHOD AND SYSTEM OF SAME, PORTABLE DEVICE, DATA PROCESSING
APPARATUS AND METHOD OF SAME, AND PROGRAMAPPLICANT(S) FOR DO/EO/US
Masayuki et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau)
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

Return Receipt Postcard.

531 Rec'd PCT 06 DEC 2001

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|---|---|--|
| U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53) 10/018440 | INTERNATIONAL APPLICATION NO. PCT/JP01/02992 | ATTORNEY'S DOCKET NUMBER 113236-005 |
|---|---|--|

24. The following fees are submitted:

| BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): | CALCULATIONS PTO USE ONLY | | | | | | | | | | | | |
|--|---------------------------|-----------------------|--------------|---------|--------------|-----------|----|-----------|--------------------|----------|---|-----------|----------|
| <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO | \$1040.00 | | | | | | | | | | | | |
| <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO | \$890.00 | | | | | | | | | | | | |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO | \$740.00 | | | | | | | | | | | | |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) | \$710.00 | | | | | | | | | | | | |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) | \$100.00 | | | | | | | | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | | | | | | | | | | |
| | \$890.00 | | | | | | | | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 | \$0.00 | | | | | | | | | | | | |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">CLAIMS</th> <th style="width: 25%;">NUMBER FILED</th> <th style="width: 25%;">NUMBER EXTRA</th> <th style="width: 35%;">RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>30 - 20 =</td> <td>10</td> <td>x \$18.00</td> </tr> <tr> <td>Independent claims</td> <td>11 - 3 =</td> <td>8</td> <td>x \$84.00</td> </tr> </tbody> </table> | CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | Total claims | 30 - 20 = | 10 | x \$18.00 | Independent claims | 11 - 3 = | 8 | x \$84.00 | \$180.00 |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | | | | | | | | | |
| Total claims | 30 - 20 = | 10 | x \$18.00 | | | | | | | | | | |
| Independent claims | 11 - 3 = | 8 | x \$84.00 | | | | | | | | | | |
| | \$672.00 | | | | | | | | | | | | |
| Multiple Dependent Claims (check if applicable). <input type="checkbox"/> | \$0.00 | | | | | | | | | | | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | | | | | | | | | |
| | \$1,742.00 | | | | | | | | | | | | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | \$0.00 | | | | | | | | | | | | |
| SUBTOTAL = | | | | | | | | | | | | | |
| | \$1,742.00 | | | | | | | | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 | \$0.00 | | | | | | | | | | | | |
| TOTAL NATIONAL FEE = | | | | | | | | | | | | | |
| | \$1,742.00 | | | | | | | | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/> | \$0.00 | | | | | | | | | | | | |
| TOTAL FEES ENCLOSED = | | | | | | | | | | | | | |
| | \$1,742.00 | | | | | | | | | | | | |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 70%;">Amount to be refunded</td> <td style="width: 30%;">\$</td> </tr> <tr> <td>charged</td> <td>\$</td> </tr> </table> | | Amount to be refunded | \$ | charged | \$ | | | | | | | | |
| Amount to be refunded | \$ | | | | | | | | | | | | |
| charged | \$ | | | | | | | | | | | | |

a. ☒ A check in the amount of **\$1,742.00** to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

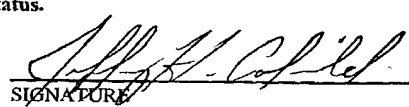
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **02-1818**. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Jeffrey H. Canfield, Esq. (38,404)
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 P.O. Box 1135
 Chicago, Illinois 60690-1135
 Telephone: (312) 807-4233


 SIGNATURE
 Jeffrey H. Canfield, Esq.
 NAME
 38,404
 REGISTRATION NUMBER
 December 6, 2001
 DATE